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Deliver to: Chen, Te Y., USPTO Art Group: 2171  
 Facsimile No.: 703/872/9306 Date: July 14, 2005  
 From: Brent E. Vecchia, Reg. No. 48,011  
 Our Docket No.: 42390P11146 Number of pages 5 including this sheet.  
 Application No.: 09/895,431 Filing Date: 6/29/2001  
 Docket Due Date(s): \_\_\_\_\_

Enclosed are the following documents:

- |  |   |
|--|---|
| <input type="checkbox"/> Amendment: _____ ( ____ pgs)              | <input type="checkbox"/> Issue Fee Transmittal                                    |
| <input type="checkbox"/> Appeal Brief ( ____ pgs)                  | <input type="checkbox"/> Notice of Appeal   |
| <input type="checkbox"/> Application: _____                        | <input type="checkbox"/> Petition for: _____                                      |
| ( ____ pgs) w/cover & abstract                                     | <input type="checkbox"/> Request for Continued Examination (RCE)                  |
| <input type="checkbox"/> Assignment & Cover Sheet ( ____ pgs)      | <input type="checkbox"/> Reply Brief ( ____ pgs)                                  |
| <input checked="" type="checkbox"/> Certificate of Facsimile _____ | <input type="checkbox"/> Request & Certification Under 35 USC 122(b)(2)(B)(i)     |
| <input type="checkbox"/> Continued Prosecution Application (CPA)   | <input type="checkbox"/> Request to Rescind Previous Nonpublication Request       |
| <input type="checkbox"/> Declaration & POA ( ____ pgs)             | <input type="checkbox"/> Response to Notice of Missing Parts & Formalities Letter |
| <input type="checkbox"/> Drawings: ____ sheets, ____ figures       | <input type="checkbox"/> Response to Written Opinion ( ____ pgs)                  |
| <input type="checkbox"/> Extension of Time: _____                  | <input type="checkbox"/> Terminal Disclaimer                                      |
| <input type="checkbox"/> Fee Transmittal (in duplicate)            | <input type="checkbox"/> Transmittal of Publication Fee Due                       |
| <input checked="" type="checkbox"/> IDS & PTO/SB/08 ( 3 pgs)       | <input checked="" type="checkbox"/> Transmittal Letter                            |
| <input type="checkbox"/> Other _____                               |   |

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Krista Mathieson  
Krista Mathieson7/14/2005  
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<b>TRANSMITTAL FORM</b> (to be used for all correspondence after initial filing)		Application No.	09/895,431
		Filing Date	June 29, 2001
		First Named Inventor	Richard Qian
		Art Unit	2171
		Examiner Name	Chen. Te Y.
Total Number of Pages in This Submission	4	Attorney Docket Number	42390P11146

ENCLOSURES (check all that apply)		
<input type="checkbox"/> Fee Transmittal Form  <input type="checkbox"/> Fee Attached  <input type="checkbox"/> Amendment / Response <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s)  <input type="checkbox"/> Extension of Time Request  <input type="checkbox"/> Express Abandonment Request  <input checked="" type="checkbox"/> Information Disclosure Statement <input checked="" type="checkbox"/> PTO/SB/08  <input type="checkbox"/> Certified Copy of Priority Document(s)  <input type="checkbox"/> Response to Missing Parts/Incomplete Application <input type="checkbox"/> Basic Filing Fee <input type="checkbox"/> Declaration/POA  <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Drawing(s)  <input type="checkbox"/> Licensing-related Papers  <input type="checkbox"/> Petition  <input type="checkbox"/> Petition to Convert a Provisional Application  <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address  <input type="checkbox"/> Terminal Disclaimer  <input type="checkbox"/> Request for Refund  <input type="checkbox"/> CD, Number of CD(s)	<input type="checkbox"/> After Allowance Communication to Group  <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences  <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)  <input type="checkbox"/> Proprietary Information  <input type="checkbox"/> Status Letter  <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): <div style="border: 1px solid black; padding: 5px; margin-top: 5px;">         - Certificate of Facsimile       </div>
Remarks		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT	
Firm or Individual name	Brent E. Vecchia, Reg. No. 48,011 BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP
Signature	<i>Brent E. Vecchia</i>
Date	7/12/05

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Date	7/19/05

Based on PTO/SB/21 (04-04) as modified by Blakely, Sokoloff, Taylor & Zafman (vtr) 06/04/2004.  
 SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22213-1450

Docket No.: 42390P11146

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re the Application of:

RICHARD QIAN

Application No.: 09/895,431

Filed: June 29, 2001

For: **Creating and Managing Portable User  
Preferences for Personalization Media  
Consumption from Device to Device**

Art Group: 2171

Examiner: Chen. Te Y.

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## INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §1.97

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

In accordance with the duty of disclosure, enclosed is a copy of IDS Citation Form PTO/SB/08 or PTO-1449, together with copies of the documents cited on that form, except for copies not required to be submitted (e.g., copies of U.S. patents and U.S. published patent applications need not be enclosed). This IDS and IDS Citation Form are being submitted within three (3) months of filing of the application. It is respectfully requested that the cited references be considered and that the enclosed copy of PTO/SB/08 be initialed by the Examiner to indicate such consideration and a copy thereof returned to applicant(s).

The submission of this Information Disclosure Statement is not to be construed as a representation that a search has been made in the subject application and is not to be construed as an admission that the information cited in this statement is material to patentability.

Please charge any fees due to Deposit Account 02-2666. A duplicate copy of the Fee Transmittal (PTO/SB/17) is enclosed for this purpose.

Respectfully submitted,

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP

Date: 7/12/05

Brent E. Vecchia  
Brent E. Vecchia, Reg. No. 48,011

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Krista Mathieson 7/14/05  
Krista Mathieson Date

Substitute for form 1449A/PTO <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b> (use as many sheets as necessary)				<i>Complete If Known</i>		
				Application Number	09/895,431	
Sheet		1	of	1	Filing Date	June 29, 2001
					First Named Inventor	Richard Qian
					Art Unit	2171
					Examiner Name	Chen, Te Y.
					Attorney Docket Number	42390P11146

U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No.*	Document Number	Publication Date or Issue Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number - Kind Code* (if known)			
		US-4,734,568	03-29-1988	Watanabe	
		US-4,821,118	04-11-1989	Lafreniere	
		US-5,211,424	05-18-1993	Bliss	
		US-5,310,999	05-10-1994	Claus et al.	
		US-5,459,304	10-17-1995	Eisemann	
		US-5,490,217	02-06-1996	Wang et al.	
		US-5,566,327	10-15-1996	Sehr et al.	
		US-5,578,808	11-26-1996	Taylor	
		US-5,623,552	04-22-1997	Lane	
		US-5,712,472	01-27-1998	Lee	
		US-5,790,674	08-04-1998	Houvener et al.	
		US-5,815,252	09-29-1998	Price-Francis	
		US-5,973,731	10-26-1999	Schwab	
		US-6,018,739	01-25-2000	McCoy et al.	
		US-6,386,451	05-14-2002	Sehr	
		US-			
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FOREIGN PATENT DOCUMENTS					
Examiner Initials*	Cite No.*	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Country Code* - Number* - Kind Code* (if known)			

Examiner Signature	Date Considered
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\*Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication.

\*Applicant's unique citation designation number (optional). \*See Kind Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. \*Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). \*For Japanese patent documents, the indication of the year of reign of the Emperor must precede the serial number of the patent document. \*Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. \*Applicant is to place a check mark here if English language translation is attached.

Based on PTO/SB/08A (08-03) as modified by Blakely, Solokoff, Taylor & Zalman (w/1) 08/11/2003.

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